

Audiology and Speech-Language Pathology Interstate Compact (ASLP-IC)
Audiology and Speech-Language Pathology Interstate Compact Commission

Title of Rule: Rule on Data System Reporting Requirements

Drafted: March 2023

Meeting at which Rule will be discussed and voted on: Special Commission Meeting on Monday, April 17, 2023

Public comment: Interested persons may electronically submit written comments on the proposed rule to ieliassen@csg.org with subject line “ASLP-IC Commission Rule Comment” or by attending the meeting at which the rule will be discussed and voted on. Written comments on the proposed rule must be submitted by 2 p.m. ET the day before the meeting.

Effective: TBD

Reason for Rule: To further define terms pursuant to Section 8.C.6, Section 9 and Section 10 of the ASLP-IC.

History for Rule: 2/9/2023: Rules Committee votes to forward to Executive Committee

Chapter 2: Rulemaking on Data System Reporting Requirements

Authority: Section 8: Establishment of the Audiology and Speech-Language Pathology Interstate Compact Commission

Section 9: Data System

Section 10: Rulemaking

2.0 Purpose: Pursuant to Section 8.C.6, Section 9 and Section 10, the Audiology and Speech-Language Pathology Interstate Compact Commission shall promulgate reasonable and lawful uniform rules to facilitate and coordinate implementation and administration of the Audiology and Speech-Language Pathology Interstate Compact. This rule will become effective upon passage by the Audiology and Speech-Language Pathology Interstate Compact Commission as provided in Section 10 of the Audiology and Speech-Language Pathology Interstate Compact.

2.1 Uniform Data Set:

A. The Commission shall provide for the development, maintenance, and utilization of a coordinated database and reporting system containing licensure, adverse action, and investigative information on all licensed individuals in member states.

B. Notwithstanding any other provision of state law to the contrary, a member state shall submit a uniform data set to the data system on all individuals to whom this Compact is applicable as required by the rules of the Commission, including but not limited to:

1. Identifying information – including but not limited to:
 - a. First Name
 - b. Middle Name
 - c. Last Name
 - d. Suffix, if applicable
 - e. Birth date; mm/dd/yyyy
 - f. United States' Social Security Number or National Provider Identifier (NPI);
 - g. Home state address;
2. Licensure data – including but not limited to:
 - a. Jurisdiction of licensure;
 - b. License Type;
 - i. Audiologist or Speech Language Pathologist;
 - c. License Number;
 - d. Initial Issuance Date;
 - e. Most Recent Renewal Date;
 - f. Expiration Date
 - i. License status:
3. Adverse Action(s) against a license or compact privilege to practice including but is not limited to;:
 - a. Type of Adverse Action;
 - b. Status and change in status of Adverse Action;
 - c. Effective dates of Adverse Action;
 - d. The existence of Current Significant Investigative Information;
 - e. Summary suspension and final disciplinary actions, as defined by the member state authority;
4. Non-confidential information related to alternative program participation including but not limited to current participation by the audiologist or speech-language pathologist in an alternative program;
5. Any denial of application for licensure, and the reason(s) for denial;
6. Other information that may facilitate the administration of this Compact, as determined by the rules of the Commission including but not limited to a correction to a licensee's data.

C. The member states shall have access, via the data system, to information including but not limited to the verification of compact privilege(s) to practice held by eligible licensees.

D. The public shall have access, via the Commission's website, to information limited to the verification of compact privilege(s) held by individuals.

E. The Home State shall be responsible for verification of uniform requirements for participation as described in Section 3 and 4 of the compact.

F. Current Significant Investigative Information pertaining to a Licensee in any Member State shall only be available to other Member States.

G. If a member state takes adverse action, it shall notify the administrator of the data system within thirty (30) days of the date action was taken. The administrator of the data system shall notify the home state of any adverse actions by remote states

H. Member states contributing information to the data system may designate information that may not be shared with the public without the express permission of the contributing state

I. In the event an audiologist or speech-language pathologist asserts that any Coordinated Licensure Information System data is inaccurate, the burden shall be upon the audiologist or speech-language pathologist to provide evidence in a manner determined by the member state that substantiates such claim.

J. Member states shall submit the data system information required above to the Commission at least one (1) time per week.

K. Any information submitted to the data system that is subsequently required to be expunged by the laws of the member state contributing the information shall be removed from the data system.