

ASLP-IC Compliance Checklist

(Note: We will need to add hyperlink of terms directly to statute and rule for easy reference and look-up)

Drafted August 5, 2025

Important Note: Some of the listed rules and bylaws below reference sections that are still pending in the rulemaking process. For example, the rules referenced in the compliance checklist dealing with Data Set Requirements for sharing of investigations by member states was just proposed as on 8/5/2025, and will be discussed at the Rules Committee meeting on 8/14/2025. Please contact the Rules Chair, Gregg Thornton or the ASLP-ICC Executive Director Lesley Edwards-Gaither for more information about referenced rules or bylaws which are still pending in the rulemaking process. This Compliance Checklist was written in anticipation of these rules and bylaws being adopted by the Commission at the annual meeting or a special commission meeting and will be updated accordingly.

_____ States are entering complete and accurate data promptly.

- A. Required under Rule on Data Set Requirements, See, Chapter 2. Section 2.1(B); Statute Section that establishes the “Data System” is Section 9; also see Sections 3 and 8.

_____ States make timely corrections to data or delete inapplicable or inaccurate data

- A. Required under Rule on Data Set Requirements, See, Chapter 2, Section 2.1(G); Statute Section that establishes the “Data System” is Section 9; also see Sections 3 and 8.

_____ States upload adverse actions into the compact within the required fifteen (15) day period.

- A. Required under Rule on Date Set Requirements, See, Chapter 2, Section 2.1(H); Statute Section that establishes the “Data System” is Section 9; also see Sections 3 and 8.

_____ States monitor the staff permissions in the Compact Connect system to ensure only the proper level of access is granted and access is removed in a timely fashion. States investigate suspicious activities in the state accounts and timely report that activity to the Compact Executive Director.

- A. Required under Rule on Date Set Requirements, See, Chapter 2, Section 2.5 (Conditions and procedures for authorized users of the Data System); Statute Section that establishes the “Data System” is Section 9; also see Sections 3 and 8.

_____ States post the required verbiage on their website, including a homepage link to the Compact website and notice of proposed rules on the same page as state rule change notice.

- A. Required under Rule on Rulemaking, See Chapter 1, section 1.4 “Prior to promulgation and adoption of a final rule”; See Statute Section 10 on “Rulemaking” and Section 12 on “Date of Implementation Practice and Associated Rules, Withdrawal, and Amendment”

_____ States submit the existence of current significant investigative information as part of the state’s next data upload.

- A. Required under Rule on Data Set Reporting Requirements, See Chapter 2, section 2.2(F) – “Current Significant Investigative Information (CSII); See Enabling Statute Section 7 Adverse Actions, subsection (F) Joint Investigations

_____ States appoint a Delegate after joining the Compact and fill vacancies within the required 90 days.

- A. Required under Bylaws; See Article II: Membership; See Enabling Statute Section 8 (Establishment of the Audiology and Speech-Language Pathology Compact Commission; sub-section (B)(4)

_____ States may utilize a conversion application to convert a privilege to practice to a home state license.

- A. Required in Section 5 and proposed rules

_____ States have implemented a Federal CBC requirement for all licensees as part of the licensure process prior to entering the Compact and having the ability to issue and accept privileges.

- A. Required under Enabling Statute Section 3 (B) (1) and (2); Required under Rule for Implementation of Criminal Background Check Requirements Chapter 3.