

ASLP-IC Compliance Checklist

Drafted August 5, 2025

_____ States are entering complete and accurate data promptly.

- A. Required under Rule on Data Set Requirements, See, Chapter 2. Section 2.1(B); Statute Section that establishes the “Data System” is Section 9; also see Sections 3 and 8.

_____ States make timely corrections to data or delete inapplicable or inaccurate data

- A. Required under Rule on Data Set Requirements, See, Chapter 2, Section 2.1(G); Statute Section that establishes the “Data System” is Section 9; also see Sections 3 and 8.

_____ States upload adverse actions into the compact within the required fifteen (15) day period.

- A. Required under Rule on Date Set Requirements, See, Chapter 2, Section 2.1(H); Statute Section that establishes the “Data System” is Section 9; also see Sections 3 and 8.

_____ States monitor the staff permissions in the Compact Connect system to ensure only the proper level of access is granted and access is removed in a timely fashion. States investigate suspicious activities in the state accounts and timely report that activity to the Compact Executive Director.

- A. Required under Rule on Date Set Requirements, See, Chapter 2, Section 2.5 (Conditions and procedures for authorized users of the Data System); Statute Section that establishes the “Data System” is Section 9; also see Sections 3 and 8.

_____ States post the required verbiage on their website, including a homepage link to the Compact website and notice of proposed rules on the same page as state rule change notice.

- A. Required under Rule on Rulemaking, See Chapter 1, section 1.4 “Prior to promulgation and adoption of a final rule”; See Statute Section 10 on “Rulemaking” and Section 12 on “Date of Implementation Practice and Associated Rules, Withdrawal, and Amendment”

_____ States submit the existence of current significant investigative information as part of the state’s next data upload.

- A. Required under Rule on Data Set Reporting Requirements, See Chapter 2, section 2.2(F) – “Current Significant Investigative Information (CSII); See Enabling Statute Section 7 Adverse Actions, subsection (F) Joint Investigations

_____ States appoint a Delegate after joining the Compact and fill vacancies within the required 90 days.

- A. Required under Bylaws; See Article II: Membership; See Enabling Statute Section 8 (Establishment of the Audiology and Speech-Language Pathology Compact Commission; sub-section (B)(4)

_____ States have implemented a Federal CBC requirement for all licensees as part of the licensure process prior to entering the Compact and having the ability to issue and accept privileges.

- A. Required under Enabling Statute Section 3 (B) (1) and (2); Required under Rule for Implementation of Criminal Background Check Requirements Chapter 3.