
Audiology and Speech-Language Pathology Interstate Compact (ASLP-IC)

Audiology and Speech-Language Pathology Interstate Compact Commission

Title of Rule: Rule on ASLP-IC Joint Investigations

Drafted: January 22, 2026

Meeting at which Rule will be discussed and voted on: ASLP-IC Special Commission Meeting on Monday, June 29, 2026.

Effective: 30 days after Full Commission Approval.

History for Rule: April 2, 2026: Rules Committee votes to forward to Executive Committee.

April 27, 2026: Executive Committee votes to forward to full Commission.

Chapter 6: Rulemaking on Joint Investigations

Authority: Section 3: State Participation in the Compact

Section 7: Adverse Actions

Section 8: Establishment of the Audiology and Speech-Language Pathology Interstate Compact Commission

Section 10: Rulemaking

6.0 Purpose:

Pursuant to Section 7, any Member State may participate with other Member States in joint investigations of licensees. The purpose of this rule is to further explain the process for joint investigations pursuant to Section 7(F).

6.1 Definitions:

A. "Adverse Action" see ASLP-IC Model Legislation Section 2(B)

B. "Compact" means the Audiology and Speech-Language Pathology Interstate Compact (ASLP-IC).

C. "Compact Privilege" see ASLP-IC Model Legislation Section 2(H)

D. "Confidential and filed under seal" means all information and documents required to be shared in compliance with the Compact shall be transmitted confidentially and may not be discoverable in civil litigation, re-disclosed voluntarily or pursuant to a public records request, or produced pursuant to civil or criminal subpoena, except that such information may be used for the purpose of investigating and taking disciplinary action and may be disclosed as part of any public disciplinary action resulting from the investigation.

E. "Data System" see ASLP-IC Model Legislation Section 2(J)

F. "Current significant investigative information" see ASLP-IC Model Legislation Section 2(I)

34 G. "Joint Investigation" means an investigation conducted jointly by two or more Member States.

35 H. "Licensee" see ASLP-IC Section 2(O)

36 I. "Minor Infraction" see ASLP-IC Rule on Definitions Chapter 1.1(e)

37 J. "Member State" see ASLP-IC Model Legislation Section 2(P).

38 **6.2 Joint Investigations:**

39

40 A. In addition to the authority granted to a member state by its respective audiology or speech-language
41 pathology practice act or other applicable state law, any member state may participate with other
42 member states in joint investigations of licensees.

43

44 B. Member States shall share any investigative, litigation, or compliance materials in furtherance of any
45 joint or individual investigation initiated under the Compact.

46

47 C. If a Member State seeks to initiate a joint investigation, it may obtain a list of all other Member States
48 where the audiologist or speech-language pathologist holds Compact Privileges or a Home State License
49 from the Compact Commission or the Data System.

50

51 D. States participating in a Joint Investigation shall designate a lead investigative state.

52

53 E. The lead investigative state shall direct the investigation and update the other members of the joint
54 investigation upon any significant developments in the joint investigation.

55

56 F. The lead investigative state may request the other States participating in the joint investigation to
57 conduct investigatory tasks in their own states.

58

59 G. A non-lead investigative state may continue its own investigation but shall keep the lead investigative
60 state apprised of its investigatory actions and shall coordinate its actions with the lead investigative
61 state.

62

63 H. States participating in the joint investigation shall share investigative, litigation, or compliance
64 materials in furtherance of the investigation.

65

66 I. During a joint investigation, a Member State may request that another Member State issue a
67 subpoena on behalf of the joint investigation or assist in the enforcement of a lawful subpoena issued by
68 the joint investigation.

69

70 J. A Member State may elect to withdraw from a joint investigation at any time, however upon doing so
71 it shall share with the remaining members of the joint investigation any investigative information,
72 litigation, and compliance materials in its custody and control which were obtained or generated during
73 the course of the joint investigation.

74

75 K. If a joint investigation results in Current Significant Investigative Information, the lead investigative
76 state shall be responsible for making a report to the Compact Commission on behalf of all participants in

77 the joint investigation.

78

79 L. Any adverse action resulting from a joint investigation shall be reported to the Compact Commission
80 by the Member State which took the adverse action.

81

82 M. Costs incurred for joint investigations shall be the responsibility of each participating member state.

DRAFT