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**Audiology and Speech-Language Pathology Interstate Compact (ASLP-IC)**

**Audiology and Speech-Language Pathology Interstate Compact Commission**

**Title of Rule:** Rule on ASLP-IC Joint Investigations

**Drafted:** January 22, 2026

**Meeting at which Rule was discussed and voted on:** April 2, 2026

**Effective:** 30 days after Full Commission Approval.

**History for Rule:** January 22, 2026: Rules Committee votes to forward to Executive Committee.

April 27, 2026: Executive Committee votes to forward to full Commission.

**Chapter 6:** Rulemaking on Joint Investigations

**Authority:** Section 3: State Participation in the Compact

Section 7: Adverse Actions

Section 8: Establishment of the Audiology and Speech-Language Pathology  
Interstate Compact Commission

Section 10: Rulemaking

**6.0 Purpose:**

**Pursuant to Section 7**, any Member State may participate with other Member States in joint investigations of licensees.

**6.1 Definitions:**

A. "Adverse Action" see ASLP-IC Section 2(B)

B. "Compact" means the ASLP-IC.

C. "Compact Privilege" see ASLP-IC Section 2(H)

D. "Confidential and filed under seal" means all information and documents required to be shared in compliance with the Compact shall be transmitted confidentially and may not be discoverable in civil litigation, re-disclosed voluntarily or pursuant to a public records request, or produced pursuant to civil or criminal subpoena, except that such information may be used for the purpose of investigating and taking disciplinary action and may be disclosed as part of any public disciplinary action resulting from the investigation.

E. "Data System" see ASLP-IC Section 2(J)

F. "Current significant investigative information" see ASLP-IC Section 2(I)

G. "Joint Investigation" means an investigation conducted jointly by two or more Member States.

33 H. "Licensee" see ASLP-IC Section 2(O)

34 I. "Minor Infraction" see ASLP-IC Rule on Definitions Chapter 1.1(e)

35 J. "Member State" see ASLP-IC Section 2(P) means a State that has enacted this Compact.

36 **6.2 Joint Investigations:**

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38 A. In addition to the authority granted to a member state by its respective audiology or speech-language  
39 pathology practice act or other applicable state law, any member state may participate with other  
40 member states in joint investigations of licensees.

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42 B. Member States shall share any investigative, litigation, or compliance materials in furtherance of any  
43 joint or individual investigation initiated under the Compact.

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45 C. If a Member State seeks to initiate a joint investigation, it may obtain a list of all other Member States  
46 where the audiologist or speech-language pathologist holds Compact Privileges or a Home State License  
47 from the Compact Commission or the Data System.

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49 D. States participating in a Joint Investigation shall designate a lead investigative state.

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51 E. The lead investigative state shall direct the investigation and update the other members of the joint  
52 investigation upon any significant developments in the joint investigation.

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54 F. The lead investigative state may request the other States participating in the joint investigation to  
55 conduct investigatory tasks in their own states.

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57 G. A non-lead investigative state may continue its own investigation but shall keep the lead investigative  
58 state apprised of its investigatory actions and shall coordinate its actions with the lead investigative  
59 state.

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61 H. States participating in the joint investigation shall share investigative, litigation, or compliance  
62 materials in furtherance of the investigation.

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64 I. During a joint investigation, a Member State may request that another Member State issue a  
65 subpoena on behalf of the joint investigation or assist in the enforcement of a lawful subpoena issued by  
66 the joint investigation.

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68 J. A Member State may elect to withdraw from a joint investigation at any time, however upon doing so  
69 it shall share with the remaining members of the joint investigation any investigative information,  
70 litigation, and compliance materials in its custody and control which were obtained or generated during  
71 the course of the joint investigation.

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73 K. If a joint investigation results in Current Significant Investigative Information, the lead investigative  
74 state shall be responsible for making a report to the Compact Commission on behalf of all participants in  
75 the joint investigation.

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- 77 L. Any adverse action resulting from a joint investigation shall be reported to the Compact Commission  
78 by the Member State which took the adverse action.  
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80 M. Costs incurred for joint investigations shall be the responsibility of each participating member state.

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